

# Broker Dealer Indirect Owners Schedule B to Form BD

Ownership Codes: **C** - 25% but less than 50%      **E** - 75% or more  
**D** - 50% but less than 75%      **F** - Other General Partners

Full Legal Name	DE/FE/I	Entity in Which Interest is Owned	Status	Date Acquired	Own. Code	Control Person	PR	CRD #(or S.S.No., IRS Tax#, Emp. ID)
INSTINET INCORPORATED	DE	INSTINET HOLDINGS INCORPORATED	DIRECT OWNER	06/2006	E	Y	N	20-3880413
NOMURA HOLDING AMERICA, INC.	DE	INSTINET INCORPORATED	DIRECT OWNER	04/2022	E	Y	N	13-3518229
NOMURA HOLDINGS, INC.	FE	NOMURA HOLDING AMERICA, INC.	DIRECT OWNER	04/2022	E	Y	N	FOREIGN